Minority Serving Hospitals and Cancer Surgery Readmissions: A Reason for Concern

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Penalties for Readmissions After Surgery

 Readmissions have recently been evaluated as a metric of healthcare quality.

- Affordable Care Act 2010
 - Hospital Readmission Reduction Program (HRRP)
 - Penalized hospitals up to 3% Medicare repayment for higher than average readmission rates for medical conditions.
- Financial penalties have since been expanded for orthopedic procedures.

• These penalties will likely expand to other surgical procedures in the near future.

Fontanarosa PB. JAMA. 2013 Weber SM. Surgery. 2014

Minority Serving Hospitals are Vulnerable

Minority Serving Hospitals (MSH)

- Provide care to large proportion of Blacks and Hispanics
 - Compared to Non-MSH:
 - 2x as likely to be penalized for higher readmissions (61% vs. 32%)
 - Penalties are projected to be \$112M vs. \$41M
 - 2x higher operative mortality rates after major surgery

 However, little is known about readmission rates after major cancer surgery at Minority Serving Hospitals

Al-Refaie WB et al. Adv Surg 2012 Al-Refaie WB et al. JACS 2012 Dudeja et al. Ann Surg Onc 2011 Shih T. Ann Surg. 2015

Objectives

Hypothesis

• Minority Serving Hospitals have higher readmission rates after major cancer surgery than Non-Minority Serving Hospitals.

Primary Aim

 Quantify the impact of Minority Serving Hospitals on readmission rates after Major Cancer Surgery

Secondary Aim

 Identify patient- and hospital- level contributors of readmissions

Data Source and Cohort

Use of 2 complimentary Data sources:

2004 - 2011 State Inpatient Database of California:
Large and racially diverse population

Linked to

Annual Survey Database of American Hospital Association:
Rich in hospital factors

Patient selection:

•110,857 patients in 491 hospitals in California

Operative procedures:

•Resections of lung, esophageal, gastric, pancreatic, hepatobiliary, rectal, and kidney cancers.

Vulnerable Hospitals in California Performing Major Cancer Surgery (n=355)

Non-Vulnerable Hospitals (n=189)

Minority Serving Hospital (MSH) (n=111)

Safety Net Hospital (SNH) (n=19)

High Medicaid Hospitals (HMH) (n=36) Minority Serving Hospital (Top 25%)

High Medicaid Hospital (Top 10%)

Safety Net Hospital (California Association of Public Hospital and Health System)

Statistical Methods

Minority Serving Hospital

Top quartile (25%) in proportions of Blacks and Hispanics served
Asians were excluded due to improved SES/lower readmissions
Covariates:

Patients

•Age, Race/Ethnicity, Insurance, Multi-morbidity

Procedure status

•Emergent

Hospitals

• Bed Size, Teaching Status, Case Volume, Residency program

Readmission Diagnosis

Statistical Methods

Outcome (Dependent) Variables

30-day readmissions (Affordable Care Act priority)
90-day and repeated readmissions (clinical relevance)

Multivariable Analyses:

•MSH and readmission patterns (Hierarchical model with adjustment for case mix)

•Block-wise regression analyses by sequentially adding patient, procedure then hospital factors

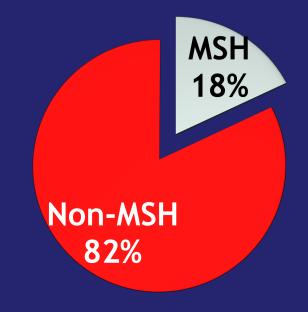
•Repeated sensitivity analyses using different MSH proportional (top quartile or decile)



Results

•111 Minority Serving Hospitals (MSH)

•Performed 18% of all major cancer surgery



MSH patients are Younger, Multi-morbid and Undergo Emergency Surgery

| | | Non-MSH (%) | MSH (%) | P-Value |
|----------------------------------|--|--------------------------------|---------------------------------|----------|
| Age | 18-49 50-64 65-74 75+ | 18.2 31.4 26.9 23.5 | 19.7 36.4 24.4 19.5 | <0.0001 |
| Charlson Comorbidity Index | 0 1 2+ | 59.5 26.2 14.3 | 56.0 26.7 17.3 | < 0.0001 |
| Primary Insurance | Medicare Medicaid Private Other | 50.49 5.09 41.08 3.34 | 43.05 16.43 32.17 8.36 | <0.0001 |
| Emergency Status | No Yes | 92.36 7.64 | 83.69 <mark>16.31</mark> | <0.0001 |

MSH are Teaching Hospitals, Non-Designated Cancer Program, and Low Procedure Volume

| | | Non-MSH (%) | MSH (%) | P-Value |
|---------------------------------------|-------------------------------|-------------------------|------------------------|---------|
| Teaching Status | Teaching | 17.5 | 31.0 | 0.0061 |
| Designated Cancer Program | Yes | 38.9 | 21.0 | 0.0016 |
| Procedure Volume (Tertile/Year) | Low Medium High High | 28.63 32.09 39.28 | 53.33 37.78 8.89 | <0.0001 |

Comparable Readmission Diagnosis

| | Non-MSH (%) | MSH (%) |
|--|-------------|---------|
| Septicemia | 4.92 | 5.83 |
| Intestinal Obstruction without hernia | 4.61 | 4.14 |
| Pneumonia | 4 | 3.74 |
| Complication of device; implant or graft | 3.33 | 3.19 |
| Hypovolemia | 3.02 | 2.36 |
| Acute and unspecified renal failure | 2.27 | 1.77 |
| Ilrinary tract | | |

Minority Serving Hospitals Had Higher Adjust Readmission Patterns

| Percent of | 30-Day | 90-Day | Repeated |
|---|-------------------------|-----------------------------|-----------------------|
| Minority Served | Readmissions | Readmissions | Readmissions |
| at Hospital | OR (95% CI) | OR (95% CI) | OR (95% CI) |
| 2nd Quartile | 1.05 | 1.06 | 1.06 |
| (vs. Q1) | (0.96-1.14) | (0.98-1.15) | (0.92-1.23) |
| 3rd Quartile (vs. Q1) | 1.13 (1.04 - 1.22)** | 1.14 (1.06 - 1.22)*** | 1.20 (1.05-1.38)** |
| 4th Quartile (MSH) vs. (Q1) Multivariable regression ad | (1.05-1.29)** | 1.18 (1.08,1.29)** | 1.28 (1.10,1.50)** |

year of admission.

** p < 0.01; *** p < 0.001.

| Q4 vs. | 30 Day Readmissions | | 90 Day Readmissions | | Repeated Readmissions | |
|------------|------------------------|-------------|------------------------|-------------|--------------------------|-------------|
| Q1-3 | Q1-3 OR (95% CI) | % Change | OR (95% CI) | % Change | OR (95% CI) | % Change |
| Unadjusted | 1.15 (1.06,1.24) | | 1.16 (1.09,1.25) | | 1.21 (1.09,1.34) | |

| Q4 vs. | 30 Day Readmissions | | 90 Day Readmissions | | Repeated Readmissions | |
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| +HRRP | 1.13 (1.04,1.23) | 11.8% | 1.15 (1.07,1.24) | 9.0% | 1.16 (1.05,1.29) | 21.9% |

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Sensitivity Analysis using top decile/top quartile

| Q4 vs. | 30 Day Readmiss | | 90 Day Readmissions | | Repeated Readmission | |
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| +Hospital Factors | 1.12 (1.04,1.21) | 6.0% | 1.15 (1.07,1.24) | -0.4% | 1.19 (1.08,1.32) | -11.8% |
| +Patient Factors | 1.06 (0.96,1.15) | 44.8% | 1.06 (0.97,1.15) | 55.0% | 1.08 (0.96,1.22) | 50.2% |

Alternative order of block regression demonstrated similar outcomes.

Limitations and Strengths

• Limitations

- Administrative data are prone to variations in coding diagnosis (ICD)
- Lack of patient staging/treatments
 Advanced stage may have higher readmissions

• <u>Strengths</u>

- Large and racially diverse cohort
- Results generalizable to many US states

Implications and Significance

- HRRP program should account for social determinants
 - Policy implications for adding race and socioeconomic factors into risk adjustment model of HRRP penalty system.
- Explore readmission patterns after major cancer surgery at other vulnerable hospitals.
 - High Medicaid Hospitals (HMH)
 - Safety Net Hospitals (SNH)
- MedStar Surgical Readmission Risk Score (SR2) with link to Electronic Medical Record (EMR) decision support tool.

Conclusions

•Minority Serving Hospitals had higher readmission rates than Non-Minority Serving Hospitals.

•The increase in readmissions were driven more by patient rather than hospital factors.

•Unintended consequences of HRRP penalties place additional financial strain on MSH and may "crowd out" minorities.

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